

PAT-8220US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED**
JAN 21 2004
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Appln. No: 10/052,145
Applicant: T. Konuma et al.
Filed: January 17, 2002
Title: METHOD AND APPARATUS FOR SPEECH RECOGNITION
TC/A.U.: 2655
Examiner: Doris Ha To

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee is required. 37 C.F.R. § 1.97(b). Furthermore, all of the references disclosed herein were cited in a search report from a foreign patent office in a counterpart foreign application within the last three months. Accordingly, a certification, as specified in 37 C.F.R. § 1.97(c), is provided herewith. Therefore, no fee is required, even if an Official Action has been mailed.

CERTIFICATION

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that each reference disclosed in the subject Supplemental Information Disclosure Statement was first cited in any communication (i.e., a search report)

from a foreign patent office in a counterpart foreign application not more than three months before the filing of the Supplemental Information Disclosure Statement.

Respectfully submitted,


Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/dlm


Enclosures: PTO Form 1449
(3) References
European Search Report
Transmittal Form

Dated: January 14, 2004

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

1/14/04


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(AW 08/03)

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	10/052,145
Filing Date	January 17, 2002
First Named Inventor	T. Konuma et al.
Art Unit	2655
Examiner Name	Doris Ha To
Attorney Docket No.	MAT-8220US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If known)			
		US-5,241,619	8/31/1993	Schwartz et al.	abstract; col 3, lines 30-68; col 5, lines 35-39; col 6, lines 26-31, 66-68; col 7, lines 8-51
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
		EP 0 936 598	8/18/1999	Canon KK	abstract; pg 15, lines 35-54	<input type="checkbox"/>
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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(AW 08/03)

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known

Application Number	10/052,145
Filing Date	January 17, 2002
First Named Inventor	T. Konuma et al.
Art Unit	2655
Examiner Name	Doris Ha To
Attorney Docket No.	MAT-8220US

RECEIVED
JAN 21 2004
Technology Center 2600**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Michael T. Johnson et al., "Interfacing Acoustic Models with Natural Language Processing Systems", ICSP 1998, October 1998 (1998-10), XP007000635.	<input type="checkbox"/>
			<input type="checkbox"/>
		European Search Report corresponding to application no. EP 02 00 0206 dated December 13, 2003.	<input type="checkbox"/>
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